



H-897-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

H. SAWAGUCHI

Serial No. 10/693,970 Group Art Unit: 2133

Filed: October 28, 2003 Examiner: S. Baker

For: DATA RECORDING/READBACK METHOD AND
DATA RECORDING/READBACK DEVICE FOR THE SAME

PRELIMINARY AMENDMENT

Commissioner for Patents
Alexandria, VA 22313

Sir:

Prior to examination, please amend the above application
as follows.

In RE application of H. SAWAGUCHI

Serial No.: 10/693,970

Group Art Unit: 2133

Filed: October 28, 2003

Examiner: S. BAKER

For: DATA RECORDING/READBACK METHOD AND DATA RECORDING/READBACK
DEVICE FOR THE SAMEAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

(COL. 1)		(COL. 2)	(COL. 3)
Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	• 14	Minus •• 20	= 0
Indep.	• 1	Minus ••• 3	= 0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims			

SMALL ENTITY	
Rate	Additional Fee
x 9	\$
x 42	\$
+ 140	\$
Total	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
x 18	\$ 0
x 84	\$ 0
+ 280	\$ 0
Total	\$ 0

- * If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.
- ** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.
- *** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.
- The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 50-1417 in the amount of \$ _____.
- ☐ A check in the amount of \$ _____ is attached in payment of: _____.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any Extension of Time fees that are necessary, which are hereby requested if necessary.

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Date: April 7, 2004

By:

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